

## **PS 247A Quantitative Approaches to International Relations**

(Fall Quarter 2010, SSB 104, Wednesday 3PM-5:50PM)

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### **Course Description:**

This course introduces students to quantitative approaches to international relations, with particular emphasis on contemporary research on conflict and peace. Since the quantitative international relations literature is so extensive, the particular readings and issues that we cover in one brief quarter must inevitably be a small and somewhat idiosyncratic sample. However, we will also focus on more general issues and develop analytical skills relevant to research design, empirical analysis, and academic discourse that have wider applicability in the study of international relations. The course will also focus on how to go beyond the role of a consumer or just evaluating the research of others to becoming active contributors and improving on existing research.

There are two assignments: First, you will submit two short (3-5 pages) papers proposing extensions on the readings for a particular week. These short papers should be distributed to the class participants ahead of the meeting time (email, at least 24 hours in advance). Each student writing a paper for the week must prepare a short class presentation and be ready to lead discussion. The goal of this exercise is **not** to summarize the readings as others in the class will already be familiar with the assignment. Rather, a good paper discusses the broader issues, themes, and questions underlying the readings or identifies problems with research design or potential flaws in the particular articles. The papers serve to jump-start a more focused in-class discussion.

Second, you must submit a replication paper, a research design, or a research paper. The paper is due at the end of the course. The papers will also be presented to the class during the final week of the quarter. You are encouraged to discuss your topic with me before you begin your research, and as the project develops.

The grade for the paper will constitute 60% of your final grade. The remaining 40% of your grade will be based on class participation (this includes the short papers, class presentation, and in-class discussion.).

Most of the readings for the course are journal articles. The articles are available electronically on JSTOR or through the UCSD library home page. Links will also be available online at (<http://dss.ucsd.edu/~egartzke>) or through the library electronic reserves system. Interests will vary and time is often scarce, but *at a minimum* you should read at least six of the assigned required readings each week (if you cannot cover them all).

Professional socialization involves learning the mores of the community. These are not written down and are seldom taught. If you have questions about any professional topic, ask. I will give you my opinion, as always.

Note: It is the student's responsibility to inform the instructor of any factor(s) that might interfere with class performance well in advance of any problems. Appropriate measures will be taken in compliance with the University of California policy and departmental practice. *Plagiarism is a violation of your academic integrity.* If you have questions about what constitutes original research, or how to reference the work of others, ask me.

\* Disclaimer: The syllabus is intended to provide information about the readings and requirements of the course. Although it is believed to be accurate at the time of dissemination, changes may be made without further notice. No part of this document should be construed as assigning any rights whatsoever beyond those set forth by the policies of the University of California and the Department of Political Science.

**Course Materials:** The syllabus is meant to be a resource for additional study and research beyond the plan of the course. The syllabus contains many readings that are not required, but are recommended. You may find a number of books helpful for this course and for future reference (*you are not required to purchase*).

General Reference:

- Carlsnaes, Walter, Thomas Risse, and Beth A Simmons (Eds.). 2002. *Handbook of International Relations*. Thousand Oaks, CA: SAGE.
- Dougherty, James E., and Robert L. Pfaltzgraff, Jr. 1996. *Contending Theories of International Relations: A Comprehensive Survey*. New York: Longman. [ISBN: 0-673-99756-1 (paper)].
- Geller, Daniel S., and J. David Singer. 1998. *Nations at War: A Scientific Study of International Conflict*. Cambridge: Cambridge University Press. [ISBN: 0-521-62906-3 (paper)].
- Goemans, H.E. 2000. *War and Punishment: The Causes of War Termination and the First World War*. Princeton, NJ: Princeton University Press. [ISBN: 0-691-02148-1 (paper)].

“Modern Classics” (broadly conceived):

- Blainey, Geoffrey. 1988. *The Causes of War*. New York: Free Press. [ISBN: 0-02-903591-0 (paper)].
- Bueno de Mesquita, Bruce. 1981. *The War Trap*. New Haven, CT: Yale University Press.
- Bueno de Mesquita, Bruce, and David Lalman. 1992. *War and Reason: Domestic and International Imperatives*. New Haven, CT: Yale University Press. [ISBN: 0-300-05922-1 (paper)].
- Huth, Paul K. 1988. *Extended Deterrence and the Prevention of War*. New Haven, CT: Yale University Press. [ISBN: 0-300-05061-5 (paper)].
- Midlarsky, Manus I. 1989. *Handbook of War Studies*. Boston: Unwin Hymen.
- Russett, Bruce. 1993. *Grasping the Democratic Peace: Principles for a Post-Cold War World*. Princeton, NJ: Princeton University Press. [ISBN: 0-691-00164-2 (paper)].
- Vasquez, John A., and Marie T. Henehan. 1992. *The Scientific Study of Peace and War: A Text Reader*. New York: Lexington Books. [ISBN: 9-669-20105-7 (paper)].

Research Design, Methodology:

- Harvey, Frank P., and Michael Brecher (Eds.). 2002. *Evaluating Methodology in International Studies*. Ann Arbor, MI: University of Michigan Press.
- Most, Ben A. and Harvey Starr. 1989. *Inquiry, Logic, and International Politics*. Columbia, S.C.: University of South Carolina Press.
- Sprinz, Detlef, and Yael Wolinsky-Nahmias (Eds.). 2004. *Models, Numbers, and Cases: Methods for Studying International Relations*. Ann Arbor, MI: University of Michigan Press.

Applied (substantive) Studies:

- Bueno de Mesquita, Bruce, Alastair Smith, Randolph M. Siverson and James D. 2005. *The Logic of Political Survival*. Cambridge, MA: MIT Press. [ISBN: 0-262-52440-6 (paper)].
- Cederman, Lars-Erik. 1997. *Emergent Actors in World Politics: How States and Nations Develop and Dissolve*. Princeton, NJ: Princeton University Press. [ISBN: 0-691-02148-1 (paper)].
- Fazal, Tanisha. 2007. *State Death: The Politics and Geography of Conquest, Occupation, and Annexation*. Princeton, NJ: Princeton University Press. [ISBN: 0-691-13460-X].
- Goemans, H.E. 2000. *War and Punishment: The Causes of War Termination and the First World War*. Princeton, NJ: Princeton University Press. [ISBN: 0-691-02148-1 (paper)].
- Huth, Paul K. 1998. *Standing Your Ground: Territorial Disputes and International Conflict*. Ann Arbor, MI: University of Michigan Press. [ISBN: 0-472-08520-4 (paper)].
- Kugler, Jacek, and Douglas Lemke, eds. 1996. *Parity and War: Evaluations and Extensions of the War Ledger*. Ann Arbor, MI: University of Michigan Press. [ISBN: 0-472-09602-1 (paper)].
- McGillivray, Fiona, and Alastair Smith. 2008. *Punishing the Prince: A Theory of International Relations, Political Institutions, and Leader Change*. Princeton, NJ: Princeton University Press.
- Midlarsky, Manus I. 2000. *Handbook of War Studies II*. Ann Arbor, MI: University of Michigan Press.

- Russett, Bruce, and John Oneal. *Triangulating Peace: Democracy, Interdependence, and International Organizations*. New York: W.W. Norton. [ISBN: 0-393-97684-X (paper)].
- Sandler, Todd, and Keith Hartley. 1995. *The Economics of Defense*. Cambridge: Cambridge University.
- Schultz, Kenneth A. 2001. *Democracy and Coercive Diplomacy*. Cambridge: Cambridge University.
- Zagare, Frank C., and D. Marc Kilgour. 2000. *Perfect Deterrence*. Cambridge: Cambridge University.
- Wagner, R. Harrison. 2007. *War and the State: The Theory of International Politics*. Ann Arbor, MI: University of Michigan Press. [ISBN: 0-472-06981-0 ].

## 1. [29 September] Introduction to Quantitative Research

Required:

- Bueno de Mesquita, Bruce. 1985. [“Toward a Scientific Understanding of International Conflict: A Personal View.”](#) *International Studies Quarterly* 29(2):121-154 (with comments).
- Gaddis, John Lewis. 1992/93. “International Relations Theory and the End of the Cold War,” *International Security* 17:5-58.
- Orme-Johnson, David W.; Charles N. Alexander and John L. Davies. 1988. “International Peace Project in the Middle East: The Maharishi Technology of the Unified Field,” *Journal of Conflict Resolution* 32:776-812 (with comments).
- Ray, James Lee and Bruce Russett. 1996. “The Future as Arbiter of Theoretical Controversies: Predictions, Explanations and the End of the Cold War,” *British Journal of Political Science* 26:441-70.
- Schrodtt, Phillip A. 1990. “A Methodological Critique of a Test of the Effect of the Maharishi Technology of the Unified Field,” *Journal of Conflict Resolution* 34:745-55.
- Simowitz, Roslyn L. & Barry Price. 1986. “Progress in the Study of International Conflict: A Methodological Critique.” *Journal of Peace Research* 23(1):29-40.
- Wagner, Harrison. “Who’s Afraid of Rational Choice Theory?” Working Paper. University of Texas. (<http://web.austin.utexas.edu/hw/papers/rct.pdf>)
- Zinnes, Dina. 1980. “Three Puzzles in Search of a Researcher,” *International Studies Quarterly*, 24:315-42.

Supplementary:

A.) Cumulation (progress) in IR:

- Bremer, Stuart A. 1976. “Obstacles to the Accumulation of Knowledge” in James N. Rosenau (ed.) *In Search of Global Patterns*. New York: Free Press.
- Bueno de Mesquita, Bruce. 1996. “The Benefits of a Social Scientific Approach to Studying International Affairs.” in *Explaining International Relations Since 1945*. Ngaire Woods, ed. Oxford: Oxford University Press.
- Jervis, Robert. 1976. “Cumulation, Correlation, and Wozzles” in James N. Rosenau (ed.) *In Search of Global Patterns*. New York: Free Press.
- Nicholson, Michael. 1996. *Causes and Consequences in International Relations*. London: Pinter.
- Zinnes, Dina A. 1976. “The Problem of Cumulation.” In James Rosenau (ed.) *In Search of Global Patterns*. New York: Free Press.

B.) Evaluating Scientific Research:

- Bremer, Stuart A. & Thomas R. Cusak (eds.). 1995. *The Process of War: Advancing the Scientific Study of War*. Luxembourg: Gordon and Breach.
- Singer, J. David. 1961. “The Level-of-Analysis Problem in International Relations.” *World Politics* 14:77-92.
- Vasquez, John. 1997. “The Realist Paradigm and Degenerative versus Progressive Research Programs: An Appraisal of Neotraditional Research on Waltz’s Balancing Proposition.” *American Political Science Review* 91(4):899-912.
- Walt, Stephen P. 1999. [“Rigor or Rigor Mortis? Rational Choice and Security Studies.”](#) *International Security*. 23(4):5-48 ([with comments](#) in *International Security* 24(2))
- Waltz, Kenneth N. 1997. “Evaluating Theories.” *American Political Science Review* 91(4):913-917.

C.) Data on Peace and Conflict

- Azar, Edward E. 1980. "The Conflict and Peace Databank (COPDAB) project." *Journal of Conflict Resolution* 24:143-52.
- Brecher, Michael, and Jonathan Wilkenfeld. 1997. *A Study of Crisis*. Ann Arbor, MI: University of Michigan Press.
- Gerner, Deborah J., Philip A. Schrodt, and Ronald A. Francisco. 1994. "Machine Coding of Event Data Using Regional and International Sources." *International Studies Quarterly* 38:91-119.
- Gleditsch, Nils Petter, Peter Wallensteen, Mikael Eriksson, Margareta Sollenberg, and Håvard Strand. 2002. "Armed Conflict 1946-2001: A New Dataset." *Journal of Peace Research* 39:615-37.
- Jones, Daniel M., Stuart A. Bremer, and J. David Singer. 1996. " Militarized Interstate Disputes, 1816-1992: Rationale, Coding Rules, and Empirical Applications." *Conflict Management and Peace Science* 15:163-213.
- Small, Melvin, and J. David Singer. 1982. *Resort to Arms: International and Civil Wars, 1816-1980*. Beverly Hills, CA: Sage.
- Wallensteen, Peter, and Margareta Sollenberg. 2000. "Armed Conflict, 1989-1999." *Journal of Peace Research* 37:635-49.

## 2. [6 October] Rivalries and Arms Races:

Required:

- Bennett, D. Scott. 1996. "[Security, Bargaining, and the End of Interstate Rivalry.](#)" *International Studies Quarterly* 40(2):157-184.
- Gartzke, Erik, and Michael W. Simon. 1999. "Hot Hand: A Critical Analysis of Enduring Rivalries." *Journal of Politics* 61(3):777-798.
- Goertz, Gary, and Paul F. Diehl. 1995. "[The Initiation and Termination of Enduring Rivalries: The Impact of Political Shocks.](#)" *American Journal of Political Science* 39(1):30-52.
- Goldstein, Joshua S., and Jon C. Pevehouse. 1997. "Reciprocity, Bullying, and International Cooperation: Time-Series Analysis of the Bosnia Conflict." *American Political Science Review* 91:515-30.
- Hamblin, Robert L., Michael Hout, Jerry L. L. Miller, and Brian L. Pitcher. 1977. "Arms Races: A Test of Two Models." *American Sociological Review* 42:338-54.
- Lemke, Douglas, and William Reed. 2001. "War and Rivalry among Great Powers." *American Journal of Political Science* 45(2):457-469.
- Morrow, James D. 1989. "[A Twist of Truth: A Reexamination of the Effects of Arms Races on the Occurrence of War.](#)" *Journal of Conflict Resolution* 33(3):500-529.
- Sample, Susan G. 1997. "Arms Races and Dispute Escalation: Resolving the Debate." *Journal of Peace Research* 34:7-22.
- Sandler, Todd, and Keith Hartley. 1995. *The Economics of Defense*. Cambridge: Cambridge University Press, Chapter 4.
- Ward, Michael Don. 1984. "Differential Paths to Parity: A Study of the Contemporary Arms Race." *American Political Science Review* 78:297-317.

Supplementary:

- Boulding, Kenneth E. 1963. *Conflict and Defense: A General Theory*. New York: Harper and Row.
- Diehl, Paul. 1983. "Arms Races and Escalation: A Closer Look." *Journal of Peace Research* 20:205-12.
- Diehl, Paul F., and Mark Crescenzi. 1998. "Reconfiguring the Arms Race-War Debate" *Journal of Peace Research* 35(1):111-118.
- Diehl, Paul F., and Jean Kingston. 1987. "[Messenger or Message?: Military Buildups and the Initiation of Conflict.](#)" *Journal of Politics* 49(4):789-799.
- Dixon, William J. 1986. "Reciprocity in United States-Soviet Relations: Multiple Symmetry or Issue Linkage?" *American Journal of Political Science* 30:421-445.

- Gleditsch, Kristian Skrede, and Kyle C. Beardsley. 2004. "Nosy Neighbors: Third Party Actors in Central American Conflicts." *Journal of Conflict Resolution* 48: 379-402.
- Goertz, Gary, and Paul F. Diehl. 1993. ["Enduring Rivalries: Theoretical Constructs and Empirical Patterns."](#) *International Studies Quarterly* 37(2):147-171.
- Hess, Gregory. D. 1995. "An Introduction to Lewis Fry Richardson and His Mathematical Theory of War and Peace." *Conflict Management and Peace Science* 14(1): 77-113.
- Intriligator, Michael D., and Dagobert. L. Brito. 1984. "Can Arms Races Lead to the Outbreak of War?" *Journal of Conflict Resolution* 28:63-84.
- Mayer, Thomas F. 1986. "Arms Races and War Initiation: Some Alternatives to the Intriligator-Brito Model." *Journal of Conflict Resolution* 30:3-28.
- Morrow, James D. 1991. ["Electoral and Congressional Incentives and Arms Control."](#) *Journal of Conflict Resolution* 35(2):245-265.
- Oren, Ido. 1994. ["The Indo-Pakistani Arms Competition: A Deductive and Statistical Analysis."](#) *Journal of Conflict Resolution* 38(2):185-214.
- Richardson, Lewis F. 1960. *Arms and Insecurity*. Chicago, Pittsburgh, PA: Quadrangle/Boxwood.
- Sartori, Anne. 2003. Enduring Facts about Enduring Rivals. Typescript, Princeton University, available at URI: <http://www.princeton.edu/~asartori/Sartori%20enduring%20rivalries%207-09.pdf>.
- Stoll, Richard J. 1982. "Let the Researcher Beware: The Use of the Richardson Equations to Estimate the Parameters of a Dyadic Arms Acquisition Process." *American Journal of Political Science* 26:77-89.
- Wallace, Michael D. 1979. "Arms Races and Escalation: Some New Evidence." *Journal of Conflict Resolution* 23:3-16.

### 3. [13 October] War Onset (Dyad, System):

Required:

- Braumoeller, Bear. 2008. "Systemic Politics and the Origins of Great Power Conflict." *American Political Science Review* 102(1):1-17.
- Bueno de Mesquita, Bruce. "An Expected Utility Theory of International Conflict." *American Political Science Review* 74:917-31
- Bueno de Mesquita, Bruce, and David Lalman. 1988. ["Empirical Support For Systemic and Dyadic Explanations of International Conflict."](#) *World Politics*, 41, 1-20.
- Gartzke, Erik. 2010. "The Relevance of Power in International Politics." Working Paper. University of California, San Diego.
- Huth, Paul, D. Scott Bennett, and Christopher Gelpi. 1992. ["System Uncertainty, Risk Propensity, and International Conflict."](#) *Journal of Conflict Resolution* 36(3):478-517.
- Kim, Woosang, and James D. Morrow. 1992. ["When Do Power Shifts Lead to War?"](#) *American Journal of Political Science* 36(4):896-922.
- Mansfield, Edward D. 1992. "The Concentration of Capabilities and the Onset of War" *Journal of Conflict Resolution* 36:3-24.
- Ordeshook, Peter F., and Emerson S. Niu. 1990. "Stability in Anarchic International Systems." *American Political Science Review* 84:1207-34.
- Thompson, William R. 1986. "Polarity, the Long Cycle, and Global Power Warfare." *Journal of Conflict Resolution* 30:587-615.

Supplementary:

- Brecher, Michael, Patrick James, and John F. Wilkenfeld. 1990. "Polarity and Stability: New Concepts, Indicators, and Evidence." *International Interactions* 16:49—80.
- Cederman, Lars-Erik. 1994. "Emergent Polarity - Analyzing State Formation and Power-Politics." *International Studies Quarterly* 38:501-33.
- Fearon, James D. 1995. ["Rationalist Explanations for War."](#) *International Organization* 49(3):379-414.

- Fordham, Benjamin, and Victor Asal. 2007. "Billiard Balls and Snowflakes: Do the Unit-level Characteristics of Leading States Influence Systemic Outcomes?" *International Studies Quarterly* 51(1):1-18.
- Hegre, Haavard. 2008. "Gravitating toward War: Preponderance May Pacify, but Power Kills." *Journal of Conflict Resolution* 52(4):566-589.
- Kugler, Jacek, and Douglas Lemke. 2000. "The Power Transition Research Program: Assessing Theoretical and Empirical Advances." In Manus Midlarsky (ed.), *Handbook of War Studies II*. Ann Arbor: University of Michigan Press.
- Lemke, Douglas, and Suzanne Werner. 1996. "Power Parity, Commitment to Change, and War." *International Studies Quarterly* 40: 235-260.
- Reiter, Dan. 2003. ["Exploring the Bargaining Model of War."](#) *Perspectives on Politics* 1(1):27-43.
- Schrodtt, Phillip A. 1978. "Richardson's N-Nation Model and the Balance of Power." *American Journal of Political Science* 22:364-90.
- Singer, J. David, Stuart Bremer, and John Stuckey. 1972. "Capability Distribution, Uncertainty, and Major Power War." In *Peace, War, and Numbers*, edited by Bruce M. Russett, 19-48. Beverly Hills, CA: Sage.
- Siverson, Randolph M., and Michael P. Sullivan. 1983. "The Distribution of Power and the Onset of War." *Journal of Conflict Resolution* 27.
- Stoll, Richard J., and Michael D. Ward (Eds.). 1989. *Power in World Politics*. Boulder, CO: Lynne Rienner.
- Vasquez, John A. 1996. "Distinguishing Rivals that Go to War from Those That Do Not: A Quantitative Comparative Case Study of the Two Paths to War." *International Studies Quarterly* 40:531-558.
- Wagner, R. Harrison. 1994. "Peace, War, and the Balance of Power." *American Political Science Review* 88:595-607.
- Ward, Michael D., and Lewis L. House. 1988. "A Theory of the Behavioral Power of Nations." *Journal of Conflict Resolution* 32:3-36.
- Wayman, Frank Whelon. 1984 "Bipolarity and War: The Role of Capability Concentration and Alliance Patterns among Major Powers, 1816-1965." *Journal of Peace Research* 21(1): 61-78.
- Weede, Erich. 1976. "Overwhelming Preponderance as a Pacifying Condition Among Contiguous Asian Dyads." *Journal of Conflict Resolution* 24:395-411

#### 4. [20 October] War Expansion, Outcome:

Required:

- Bennett, D. Scott, and Allan C. Stam III. 1996. ["The Duration of Interstate Wars, 1816-1985."](#) *American Political Science Review* 90(2):239-257.
- Diehl, Paul F., Jennifer Reifschneider, and Paul R. Hensel. 1996. "United Nations Intervention and Recurring Conflict." *International Organization* 50:683-700.
- Gartner, Scott Sigmund and Randolph M. Siverson. 1996. ["War Expansion and War Outcome."](#) *Journal of Conflict Resolution* 40(1):4-15.
- Huth, Paul. 1998. "Major Power Intervention in International Crises." *Journal of Conflict Resolution* 42:744-70.
- Kirby, Andrew M., and Michael D. Ward. 1987. "The Spatial Analysis of Peace and War." *Comparative Political Studies* 20:293-313.
- Ramsay, Kristopher W. 2008. "Settling It on the Field: Battlefield Events and War Termination." *Journal of Conflict Resolution* 52(6):850-879.
- Regan, Patrick M. 1996. "Conditions of Successful Third Party Intervention in Intra-state Conflicts." *Journal of Conflict Resolution* 40:336-59.
- Werner, Suzanne. 1999. ["The Precarious Nature of Peace: Resolving the Issues, Enforcing the Settlement, and Renegotiating the Terms."](#) *American Journal of Political Science* 43(3): 912-934.

Supplementary:

- Altfeld, Michael F, and Bruce Bueno de Mesquita. 1979. "Choosing Sides in Wars." *International Studies Quarterly* 23:87-112.
- Gartzke, Erik A., and Kristian S. Gleditsch. 2001. Balancing, Bandwagoning, Bargaining and War: Signaling and Selection Among Third-Party Joiners. Paper Presented at 42nd Annual Convention of the International Studies Association, Chicago, IL, 20-24 February.
- Grieg, J. Michael. 2001, "Moments of Opportunity: Recognizing Conditions of Ripeness for International Mediation Between Enduring Rivals." *Journal of Conflict Resolution* 45:691-718.
- Horowitz, Michael, and Dan Reiter. 2001. ["When Does Aerial Bombing Work?: Quantitative Empirical Tests, 1917-1999."](#) *Journal of Conflict Resolution* 45(2):147-173.
- Regan, Patrick M. 1999. "Substituting Policies during U.S. Interventions in Internal Conflicts: A Little of This, a Little of That." *Journal of Conflict Resolution* 44:90-106.
- Regan, Patrick M. 2000. *Civil Wars and Foreign Powers: Interventions and Intrastate Conflict*. Ann Arbor, MI: University of Michigan Press.
- Siverson, Randolph, and Harvey Starr. 1990. "Opportunity, Willingness, and the Diffusion of War 1816-1965." *American Political Science Review* 84:47-67 (alternatively the book length version, Siverson, Randolph M., and Harvey Starr. 1991. *The Diffusion of War: A Study in Opportunity and Willingness*. Ann Arbor, MI: University of Michigan Press.)
- Vuchinich, Samuel. and Jay Teachman. 1993. ["Influences on the Duration of Wars, Strikes, Riots, and Family Arguments."](#) *Journal of Conflict Resolution* 37(3):544-568.
- Ward, Michael D. 1991. "Special issue on "The New Geopolitics." *International Interactions* 17:1-112.
- Ward, Michael D. & Kristian S. Gleditsch. "Location, Location, Location: An MCMC Approach to Modeling the Spatial Context of War and Peace," *Political Analysis* 10:244-60.
- Werner, Suzanne. 1998. ["Negotiating the Terms of Settlement: War Aims and Bargaining Leverage."](#) *Journal of Conflict Resolution* 42(3):321-343
- Yamamoto, Yoshinobo, and Stuart A. Bremer. 1980. "Wider Wars and Restless Nights: Major Power Intervention in Ongoing War." In *The Correlates of War II: Testing Some Realpolitik Models*, edited by J. David Singer, New York: Free Press.

## 5. [27 October] Alliances and Military Cooperation:

Required reading:

- Bearce, David H., Kristen M. Flanagan, and Katharine M. Floros. 2006. "Alliances, Internal Information, and Military Conflict among Member States." *International Organization* 60(3):595-625.
- Bennett, D. Scott. 1997. "Testing Alternative Models of Alliance Duration, 1816-1984." *American Journal of Political Science* 41:846-78.
- Conybeare, John A. C., and Todd Sandler (1990) "The Triple Entente and the Triple Alliance 1880-1914: A Collective Goods Approach." *American Political Science Review* 84:1197-1206.
- Gartzke, Erik. 2010. "Alliances and Reputation in International Relations." Working Paper: University of California, San Diego.
- Gartzke, Erik, and Kristian S. Gleditsch. 2004. ["Why Democracies May Actually Be Less Reliable Allies."](#) *American Journal of Political Science* 48(4):775-795.
- Leeds, Brett Ashley. 2003. ["Do Alliances Deter Aggression? The Influence of Military Alliances on the Initiation of Militarized Interstate Disputes"](#) *American Journal of Political Science* 47(3):427-439.
- Morrow, James D. 1991. ["Alliances and Asymmetry: An Alternative to the Capability Aggregation Model of Alliances."](#) *American Journal of Political Science* 35(4):904-933.
- Morrow, James D. 1993. ["Arms Versus Allies: Trade-Offs in the Search for Security."](#) *International Organization* 47(2):207-233.
- Sandler, Todd, and Keith Hartley. 1995. *The Economics of Defense*. Cambridge: Cambridge University Press, Chapter 2.
- Sorokin, Gerald L. 1994. "Arms, Alliances, and Security Tradeoffs in Enduring Rivalries." *International Studies Quarterly* 38:421-46.

Supplementary:

- Altfeld, Michael F. 1984. "The Decision to Ally: A Theory and Test." *Western Political Quarterly* 37:523-44.
- Bennett, D. Scott. 1997. ["Testing Alternative Models of Alliance Duration, 1816-1984."](#) *American Journal of Political Science* 41(3):846-878.
- Conybeare, John A. 1992. ["A Portfolio Diversification Model of Alliances: The Triple Alliance and Triple Entente, 1879-1914."](#) *Journal of Conflict Resolution* 36(1):53-85.
- Conybeare, John A. 1994. ["Arms versus Alliances: The Capital Structure of Military Enterprise."](#) *Journal of Conflict Resolution* 38(2):215-235.
- Conybeare, John A.C. 1994. ["The Portfolio Benefits of Free Riding in Military Alliances."](#) *International Studies Quarterly* 38(3):405-419.
- Conybeare, John A. C., James C. Murdoch, and Todd Sandler. 1994. "Alternative Collective-Goods Models of Military Alliances: Theory and Empirics." *Economic Inquiry* 32:525-42.
- Fearon, James D. 1997. ["Signaling Foreign Policy Interests: Tying Hands versus Sinking Costs."](#) *Journal of Conflict Resolution* 41(1):68-90.
- Gaubatz, Kurt Taylor. 1996. ["Democratic States and Commitment in International Relations."](#) *International Organization* 50(1):109-139.
- Gibler, Douglas M. 2008. "The Cost of Reneging: Reputation and Alliance Formation." *Journal of Conflict Resolution* 52(3):426-454.
- Leeds, Brett Ashley, Andrew J. Long, and Sara McLaughlin Mitchell. 2002a. "Reevaluating Alliance Reliability: Specific Threats, Specific Promises." *Journal of Conflict Resolution* 44:686-99.
- Leeds, Brett Ashley, Jeff M. Ritter, Sara McLaughlin Mitchell, and Andrew Long. 2002b. "Alliance Treaty Obligations and Provisions, 1815-1944." *International Interactions* 28:237-60.
- Morrow, James D. 1994. ["Alliances, Credibility, and Peacetime Costs."](#) *Journal of Conflict Resolution* 38(2):270-297.
- Morrow, James D. 2000. "Alliances: Why Write Them Down?" *Annual Review of Political Science* 3:63-83.
- Olson, Mancur, and Richard Zeckhauser. 1966. "An Economic Theory of Alliances." *Review of Economics and Statistics* 48:266-79.
- Palmer, Glenn. 1990. "Corralling the Free Rider: Deterrence and the Western Alliance." *International Studies Quarterly* 34(2):147-164.
- Reed, William. 1997. ["Alliance Duration and Democracy: An Extension and Cross-Validation of "Democratic States and Commitment in International Relations."](#) *American Journal of Political Science* 41(3):1072-1078.
- Sabrosky, Alan N. 1980. "Interstate Alliances: Their Reliability and the Expansion of War," in *The Correlates of War II: Testing Some Realpolitik Models*, J. David Singer, ed. New York: Free Press.
- Sandler, Todd. 1999. "Alliance Formation, Alliance Expansion, and the Core." *Journal of Conflict Resolution* 43:727-47.
- Simon, Michael W., and Erik Gartzke. 1996. ["Political System Similarity and the Choice of Allies."](#) *Journal of Conflict Resolution* 40(4):617-635.
- Smith, Alastair. 1995. ["Alliance Formation and War."](#) *International Studies Quarterly* 39(4):405-425.
- Siverson, Randolph M., and Juliann Emmons. 1991. ["Birds of a Feather: Democratic Political Systems and Alliance Choices in the Twentieth Century."](#) *Journal of Conflict Resolution* 35(2):285-306.
- Siverson, Randolph, and Harvey Starr. 1994. "Regime Change and the Restructuring of Alliances." *American Journal of Political Science* 38:145-61.
- Sorokin, Gerald L. 1994. ["Alliance Formation and General Deterrence."](#) *Journal of Conflict Resolution* 38(2):298-325.
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## 6. [3 November] Democracy, War, and Peace

### Democratic Peace:

#### Required:

- Bueno de Mesquita, Bruce, James D. Morrow, Randolph M. Siverson, and Alastair Smith. 2004. "Testing Novel Implications from the Selectorate Theory of War." *World Politics* 56(3):363-388.
- Cederman, Lars-Erik, and Kristian Skrede Gleditsch. 2004. "Conquest and Regime Change: An Evolutionary Model of the Spread of Democracy and Peace." *International Studies Quarterly* 48:603-29.
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- Gartzke, Erik. 2007. "The Capitalist Peace" *American Journal of Political Science* 51(1):166-191.
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- Cederman, Lars-Erik. 2001. "[Back to Kant: Reinterpreting the Democratic Peace as a Macrohistorical Learning Process.](#)" *American Political Science Review* 95(1):15-31.
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- Huth, Paul K. and Todd L. Allee. 2002. "Domestic Political Accountability and the Escalation and Settlement of International Disputes." *Journal of Conflict Resolution* 46(6):754-790.
- James, Patrick, Eric Solberg, and Murray Wolfson. 1999. "An Identified Systemic Model of the Democracy-Peace Nexus." *Defence and Peace Economics* 10: 1-37.
- Lake, David A. 1992. "[Powerful Pacifists: Democratic States and War.](#)" *American Political Science Review* 86(1):24-37.
- Maoz, Zeev, and Bruce Russett. 1993. "Normative and Structural Causes of the Democratic Peace, 1946-1986." *American Political Science Review* 87(3):624-638.
- Mousseau, Michael. 2000. "Market Prosperity, Democratic Consolidation, and Democratic Peace." *Journal of Conflict Resolution* 44(4):472-507.

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- Bueno de Mesquita, Bruce and Randolph M. Siverson. 1995. [“War and the Survival of Political Leaders: A Comparative Study of Regime Types and Political Accountability.”](#) *American Political Science Review* 89(4):841-853.
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- Davies, Graeme A. M. 2002. “Domestic Strife and the Initiation of International Conflicts: A Directed Dyad Analysis, 1950-1982.” *Journal of Conflict Resolution* 46:672-692.
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- Leeds, Brett Ashley, and David R. Davis. 1997. [“Domestic Political Vulnerability and International Disputes.”](#) *Journal of Conflict Resolution* 41:814-34.
- Levy, Jack. 1988. [“Domestic Politics and War.”](#) *Journal of Interdisciplinary History* 18(4):653-673.
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- Morgan, T. Clifton, and Kenneth N. Bickers. 1992. “Domestic Discontent and the External Use of Force” *Journal of Conflict Resolution* 36:25-52.

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## 7. [10 November] Economic Development, Interdependence, Conflict and Cooperation:

Required reading:

- Dorussen, Han, and Jongryn Mo. 2001. “Ending Economic Sanctions: Audience Costs and Rent-Seeking as Commitment Strategies.” *Journal of Conflict Resolution* 45: 395-426.
- Drezner, Daniel W. 2003. “The Hidden Hand of Economic Coercion.” *International Organization* 57:643-659.
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- Greene, Donald, and Soo Yeon Kim. 2001. “Dirty Pool (with Comments).” *International Organization* 55:441-468.
- Hegre, Håvard. 2000. “Development and the Liberal Peace: What does it Take to be a Trading State?” *Journal of Peace Research* 37:5-30.
- Hufbauer, Gary Clyde, Jeffrey J. Schott, and Kimberly Ann Elliott. 1990. *Economic Sanctions Reconsidered*. Washington, DC: Institute for International Economics.
- Kaempfer, William H., and Anton D. Lowenberg. 1988. “The Theory of International Economic Sanctions: A Public Choice Approach.” *American Economic Review* 78:786-93.

- Mansfield, Edward D. and Brian M. Pollins. 2001. [“The Study of Interdependence and Conflict: Recent Advances, Open Questions, and Directions for Future Research”](#) *Journal of Conflict Research* 45(6):834-859.
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- Wagner, Harrison. 1988. [“Economic Interdependence, Bargaining Power, and Political Influence.”](#) *International Organization* 42(3):461-483.

## 8. [17 November] Deterrence and Spirals:

Required:

- Asal, Victor, and Kyle Beardsley. 2007. [“Proliferation and International Crisis Behavior.”](#) *Journal of Peace Research* 44(2):139-155.
- Crescenzi, Mark. 2007. “Reputation and Interstate Conflict.” *American Journal of Political Science* 51(2):382-396.
- Fearon, James D. 1994. [“Signaling Versus the Balance of Power and Interests: An Empirical Test of a Crisis Bargaining Model.”](#) *Journal of Conflict Resolution* 38(2):236-269.
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- Smith, Alastair. 1999. [“Testing Theories of Strategic Choice: The Example of Crisis Escalation.”](#) *American Journal of Political Science* 43(4):1254-1283.
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- Danilovic, Vesna. 2001. “Conceptual and Selection Bias Issues in Deterrence.” *Journal of Conflict Resolution* 45(1):97-125.
- Fuhrmann, Matthew. 2008. [“Exporting Mass Destruction?: The Determinants of Dual-Use Trade”](#) *Journal of Peace Research* 45(5):633-652.
- Gartzke, Erik. 2010. “Nuclear Proliferation Dynamics and Conventional Conflict.” Working Paper. University of California, San Diego.
- Geller, Daniel. 1990. [“Nuclear Weapons, Deterrence and Crisis Escalation.”](#) *Journal of Conflict Resolution* 34(2):291-310.
- Huth, Paul, and Bruce Russett. 1990. [“Testing Deterrence Theory: Rigor Makes a Difference.”](#) *World Politics* 42(4):466-501.
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- Singh, Sonali, and Christopher R. Way. 2004. “The Correlates of Nuclear Proliferation.” *Journal of Conflict Resolution* 48(6):859-885
- Kroenig, Matthew. 2009. “Exporting the Bomb: Why States Provide Sensitive Nuclear Assistance.” *American Political Science Review* 103(1):113-133.

## 9. [24 November] Non-state Actors Behaving Badly

### Civil Wars and Refugees:

Required:

- Cederman, Lars-Erik, Luc Girardin, and Kristian Gleditsch. "Ethnonationalist Triads: Assessing the Influence of Kin Groups on Civil Wars." *World Politics* 61(3):403-437.
- Collier, Paul, and Anke Hoeffler. 2002. "[Greed and Grievance in Civil War](#)" *Oxford Economic Papers* 56(4):563-595.
- Collier, Paul, and Nicholas Sambanis. 2002. "Understanding Civil War: A New Agenda." *Journal of Conflict Resolution* 46(1):3-12.
- Davenport, Christian, and David Armstrong. 2004. "Democracy and the Violation of Human Rights: A Statistical Analysis from 1976-1996." *American Journal of Political Science* 48(3):538-554.
- Doyle, Michael W., and Nicholas Sambanis. 2000. "International Peacebuilding: A Theoretical and Quantitative Analysis." *American Political Science Review* 94(4):779-801.
- Fearon, James D., and David Laitin. 2003. "Ethnicity, Insurgency, and Civil War." *American Political Science Review* 97(1):75-90.
- Ghobarah, Hazem A., Paul Huth, and Bruce Russett. 2003. "Civil Wars Kill and Maim People – Long After the Shooting Stops." *American Political Science Review* 97(2):189-202.
- Gleditsch, Kristian S., Idean Salehyan, and Kenneth Schultz. 2008. "Fighting at Home, Fighting Abroad: How Civil Wars Lead to International Disputes." *Journal of Conflict Resolution*. 52(4):479-506.
- Moore, Will H. and Stephen M. Shellman. 2007. "[Whither Will They Go?: A Global Study of Refugees' Destinations, 1965-1995.](#)" *International Studies Quarterly* 51(4):811-834.
- Salehyan, Idean, and Kristian S. Gleditsch. 2006. "Refugees and the Spread of Civil War." *International Organization* 60(2):335-366.

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- Davenport, Christian, Will H. Moore, and Stephen Poe. 2003. "Sometimes You Just Have to Leave: Domestic Threats and Refugee Movements, 1964-1989." *International Interactions* 29(1):27-55.
- Davenport, Christian. 2007. "State Repression and the Tyrannical Peace." *Journal of Peace Research* 44(4):485-504.
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- Sambanis, Nicholas. 2000. "Partition as a Solution to Ethnic War: An Empirical Critique of the Theoretical Literature." *World Politics* 52.
- Sambanis, Nicholas. 2001. "Do Ethnic and Nonethnic Civil Wars Have the Same Causes?" *Journal of Conflict Resolution* 45(3):259-282.

## Terrorism:

### Required:

- Abadie, Alberto, and Javier Gardeazabal. 2003. [“The Economic Costs of Conflict: A Case Study of the Basque Territory.”](#) *American Economic Review* 93(1):113-132.
- Asal, Victor, and R. Karl Rethemeyer. 2008. “The Nature of the Beast: Organizational Structures and the Lethality of Terrorist Attacks.” *Journal of Politics* 70(2):\*\*\*.
- Bapat, Navin A. 2006. [“State Bargaining in Transnational Terrorist Events.”](#) *International Studies Quarterly* 50(2):265-280.
- Brophy-Baermann, Bryan, and John A.C. Conybeare. 1994. [“Retaliating Against Terrorism: Rational Expectations and the Optimality of Rules Versus Discretion.”](#) *American Journal of Political Science* 38(1):196-210.
- Enders, Walter, and Todd Sandler. 1993. [“The Effectiveness of Antiterrorism Policies: A Vector-Autoregression-Intervention Analysis.”](#) *American Political Science Review* 87(4):829-844.
- Li, Quan. 2005. [“Does Democracy Promote or Reduce Transnational Terrorist Incidents?”](#) *Journal of Conflict Resolution* 49(2):278-297.
- Pape, Robert A. 2003. [“The Strategic Logic of Suicide Terrorism.”](#) *American Political Science Review* 97(3):343-361. (read also the debate in APSR 102(2):269-277 link)
- Salehyan, Idean. 2007. [“Transnational Rebels: Neighboring States as Sanctuary for Rebel Groups.”](#) *World Politics* 59(2):217-242.

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- Abadie, Alberto, and Javier Gardeazabal. 2007. [“Terrorism and the World Economy.”](#) Working Paper, Harvard University.
- Bueno de Mesquita, Ethan. 2005. [“The Quality of Terror”](#) *American Journal of Political Science* 49(3):515-530.
- Enders, Walter, and Todd Sandler. 1999. [“Transnational Terrorism in the Post-Cold War Era.”](#) *International Studies Quarterly* 43(1):145-167.
- Krueger, Alan B., and Jitka Maleckova. 2003. [“Education, Poverty, and Terrorism: Is There a Causal Connection?”](#) *Journal of Economic Perspectives* 17(4):119-144.
- Kydd, Andrew, and Barbara F. Walter. 2002. [“Sabotaging the Peace: The Politics of Extremist Violence.”](#) *International Organization* 56(2):263-296.
- Moore, Will H. and Stephen M. Shellman. 2007. [“Whither Will They Go?: A Global Study of Refugees’ Destinations, 1965-1995.”](#) *International Studies Quarterly* 51(4):811-834.
- Neumayer, Eric. [“The Impact of Political Violence on Tourism: Dynamic Cross-National Estimation.”](#) *Journal of Conflict Resolution* 48(2):259-281.
- Piazza, James A. 2008. “A Supply-Side View of Suicide Terrorism: A Cross-National Study.” *Journal of Politics* 70(1):28-39.

## **10. [1 December] Methodological innovations, data developments, and theoretical advances:**

### Required:

- Beck, Nathaniel, Gary King, and Langche Zeng. 2000. “Improving Quantitative Studies of International Conflict: A Conjecture.” *American Political Science Review* 94:21-36.
- Beck, Nathaniel, Kristian S. Gleditsch, and Kyle Beardsley. 2006. “Space is More than Geography: Using Spatial Econometrics in the Study of Political Economy.” *International Studies Quarterly* 50(1):27-44.
- Bennett, D. Scott, and Allan C. Stam. 2000 [“Research Design and Estimator Choices in the Analysis of Interstate Dyads: When Decisions Matter.”](#) *Journal of Conflict Resolution* 44(5):653-685.
- Braumoeller, Bear F. 2003. [“Causal Complexity and the Study of Politics.”](#) *Political Analysis* 11(3):209-233.
- Goenner, Cullen F. 2004. “Uncertainty of the Liberal Peace.” *Journal of Peace Research* 41:589-605.

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- Signorino, Curtis. A. 1999. [“Strategic Interaction and the Statistical Analysis of International Conflict.”](#) *American Political Science Review* 93(2):279-297.
- Smith, Alastair. 1996. [“To Intervene or Not to Intervene: A Biased Decision.”](#) *Journal of Conflict Resolution* 40(1):16-40.

Supplementary:

- Beck, Nathaniel, James N. Katz, and Richard Tucker. 1998. [“Beyond Ordinary Logit: Taking Time Seriously in Binary Time-Series—Cross-Section Models.”](#) *American Journal of Political Science*. 42(4):1260-1288.
- Braumoeller, Bear F., and Gary Goertz. 2000. [“The Methodology of Necessary Conditions.”](#) *American Journal of Political Science* 44(4):844-858.
- Esarey, Justin, Bumba Mukherjee, and Will H. Moore. 2008. [“Strategic Interaction and Interstate Crises: A Bayesian Quantal Response Estimator for Incomplete Information Games.”](#) *Political Analysis* 16(3):250-273.
- Jenson, Nathan M. and Daniel J. Young. 2008. “A Violent Future?: Political Risk Insurance Markets and Violent Forecasts.” *Journal of Conflict Resolution* 52(4):527-547.
- Morton, Rebecca. 1999. *Methods and Models: A Guide to Empirical Analysis of Formal Models*. Cambridge, New York: Cambridge University Press.
- O’Neill, Barry. 2001. “Risk Aversion in International Relations Theory.” *International Studies Quarterly* 45(4):617-640.
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- Smith, Ron P. 1998. “Quantitative Methods in Peace Research.” *Journal of Peace Research* 35:419-27.

**\* Replication, research design, or research paper due on Friday 3 December (printed copies only) \***